# Doubly Convolutional Neural Networks

## **SMAI PROJECT**

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The Muffin Stuffers

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# AIM

Parameter sharing is the major reason of success of building large models for deep neural networks. This paper introduces the idea of **Doubly Convolutional Neural Networks**, which significantly improves the performance of CNN with the same number of parameters.

### **Neural Network**



## **Convolutional Neural network**

CNNs are extremely parameter efficient due to exploring the translation invariant property of images, which is the key to training very deep models without severe overfitting.



## **K-Translation Correlation**

In well trained CNNs, many of the learned filters are slightly translated versions of each other.

K-translation correlation between two convolutional filters within same layer  $W_i$ ,  $W_j$  is defined as:

$$\rho_k(\mathbf{W}_i, \mathbf{W}_j) = \max_{x, y \in \{-k, \dots, k\}, (x, y) \neq (0, 0)} \frac{\langle \mathbf{W}_i, T(\mathbf{W}_j, x, y) \rangle_j}{\|\mathbf{W}_i\|_2 \|\mathbf{W}_j\|_2}$$

Here, T(.,x,y) denotes the translation of the first operand by (x,y) along its spatial dimensions.

K-translation correlation between a pair of filters indicates the maximum correlation achieved by translating filters up to k steps along any spatial dimension.

For deeper models, averaged maximum k-translation correlation of a layer W is:

$$\bar{\rho}_k(\mathbf{W}) = \frac{1}{N} \sum_{i=1}^N \max_{j=1, j \neq i}^N \rho_k(\mathbf{W}_i, \mathbf{W}_j)$$

N is the number of filters

## **Correlation Results**

The averaged maximum 1-translational correlation of each layer for AlexNet and VGG Net are as follows. As a comparison, a filter bank with same shape filled with random gaussian samples has been generated.





Group filters which are translated versions of each other.

DCNN allocates a set of meta filters

### **Idea of DCNN**

Convolve meta filters with identity kernel

Effective filters extracted

## Convolution

$$\begin{split} \mathcal{I}_{k,i,j}^{\ell+1} &= \sum_{c' \in [1,c], i' \in [1,z], j' \in [1,z]} \mathbf{W}_{k,c',i',j'}^{\ell} \mathcal{I}_{c',i+i'-1,j+j'-1}^{\ell} \\ k \in [1,c^{\ell+1}], i \in [1,w^{\ell+1}], j \in [1,h^{\ell+1}]. \end{split}$$

Input image:  $\mathcal{I}^{\ell} \in R^{c^{\ell} \times w^{\ell} \times h^{\ell}}$ 

Set of  $c_{l+1}$  filters:  $\mathbf{W}^{\ell} \in R^{c^{\ell+1} \times c^{\ell} \times z \times z}$  each filter of shape:  $c^{l}xzxz$ Output image:  $\mathcal{I}^{\ell+1} \in R^{c^{\ell+1} \times w^{\ell+1} \times h^{\ell+1}}$ 

*	*	*	
*	*	*	
*	*	*	

## **Double Convolution**

$$\begin{split} \mathcal{O}_{i,j,k}^{\ell+1} &= \mathbf{W}_k^{\ell} * \mathcal{I}_{:,i:(i+z-1),j:(j+z-1)}^{\ell}, \\ \mathcal{I}_{(nk+1):n(k+1),i,j}^{\ell+1} &= pool_s(\mathcal{O}_{i,j,k}^{\ell+1}), n = (\frac{z'-z+1}{s})^2 \\ k \in [1, c^{\ell+1}], i \in [1, w^{\ell+1}], j \in [1, h^{\ell+1}]. \end{split}$$

Input image:  $\mathcal{I}^\ell \in R^{c^\ell imes w^\ell imes h^\ell}$ 

Output image:  $\mathcal{I}^{\ell+1} \in R^{nc^{\ell+1} \times w^{\ell+1} \times h^{\ell+1}}$ 

Set of c<sup>l+1</sup> meta filters:  $\mathbf{W}^{\ell} \in R^{c^{\ell+1} \times c^{\ell} \times z' \times z'}$ 

with filter size z'xz', z'>z

**Spatial pooling function with pooling size** *sxs* 

Set of c<sup>l+1</sup> meta filters size (z' x z')

Image patches size (z x z) convolved with each meta filter

Output size (z'-z+1) x (z'-z+1)

### Working of DCNN

Spatial pooling with size (s x s)

**Output flattened to column vector** 

Feature map with nc<sup>l+1</sup> channels

## **Double Convolution: 2 step convolution**



**<u>STEP1</u>**: An image patch is convolved with a metafilter.

**STEP2:** Meta filters slide across to get different patches, i.e. convolved with the image.

# ALGORITHM

Algorithm 1 Implementation of double convolution with convolution. **Input** : Input image  $I^l \epsilon R^{c^l * w^l * h^l}$ , meta filters  $W^l \epsilon R^{c^{l+1} * c^l * z' * z'}$ . effective filter size z \* z, pooling size s \* s. **Output:** Output image  $I^{l+1} \epsilon R^{nc^{l+1}} * w^{l+1} * h^{l+1}$ , with  $n = \frac{(z'-z+1)^2}{z^2}$ . function DOUBLE CONVOLUTION 1.  $I^l \leftarrow IdentityMatrix(c^l z^2);$ 2. Reorganize  $I^l$  to shape  $c^l z^2 * c^l * z * z$ : 3.  $\tilde{W}^{l} \leftarrow W^{l} * I^{l}$ ; /\* output shape:  $c^{l+1} * c^{l} z^{2} * (z' - z + 1) * (z' - z + 1) * / (z' - z' + 1$ 4. Reorganize  $\tilde{W}^l$  to shape  $c^{l+1}(z'-z+1)^2 * c^l * z * z;$ 5.  $O^{l+1} \leftarrow I^l * \tilde{W}^l$ ; /\* output shape:  $c^{l+1}(z'-z+1)^2 * w^{l+1} * h^{l+1} * / 2$ 6. Reorganize  $O^{l+1}$  to shape  $c^{l+1}w^{l+1}h^{l+1} * (z'-z+1) * (z'-z+1);$ 7.  $I^{l+1} \leftarrow pool_s(O^{l+1}); /*$  output shape:  $c^{l+1}w^{l+1}h^{l+1} * \frac{z'-z+1}{z} * \frac{z'-z+1}{z} * /$ 8. Reorganize  $I^{l+1}$  to shape  $c^{l+1}(\frac{z'-z+1}{s})^2 * w^{l+1} * h^{l+1};$ end function

1	1000	1000		1		102	
1	0	0	0	0	0	0	0
0	1	0	0	0	0	0	0
0	0	1	0	0	0	0	0
0	0	0	1	0	0	0	0
0	0	0	0	1	0	0	0
0	0	0	0	0	1	0	0
0	0	0	0	0	0	1	0
0	0	0	0	0	0	0	1

Identity (8x8)

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## **Implementation & Results**

# 0000000000 1/1//12 222222222 33333333 444444444 555555555 666666666 1777777777 8888888888 999399

## **MNIST DATASET**

Input: 1x28x28 (GrayScale Image)

Class: 10 (0,1,2, ..., 9)

Train Samples: 60,000

Test Samples: 10,000



<sup>10</sup>x1

#### Batch Size: 200 Epochs: 100 Dropout: Yes



### DCNN vs CNN

Epochs	Pool	Batch Size	Dropout	Test Error DCNN	Test Error CNN
10	2	200	No	0.0137	0.019
9	1	100	No	0.018	0.017
10	2	200	Yes	0.0153	0.0171

**Conclusion:** 

Even though DCNN has 360 params compare to CNN which as 1650 params, Test Error is almost comparable. Forward Pass Run is Faster in DCNN.

Convergence for DCNN is much faster and after that overfitting happens quickly compare to CNN

## Variants of DCNN

#### **Standard CNN**

z'=z

DCNN is generalisation of CNN

#### **Concat DCNN**

S=1

Maximally parameter efficient

With the same amount of parameters produces  $\frac{(z'-z+1)^2 z^2}{z'^2}$ 

times more channels for a single layer.

**Maxout DCNN** 

#### S=Z'-Z+1

Output image channel size equal to the number of meta filters.

Yields a parameter efficient implementation of maxout network.

## What's Next?

- Instead of translational correlation modeling for Rotational Correlation.
- Mechanism to decide number of meta filters and its size.

## References

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- Lasagne Docs: https://lasagne.readthedocs.io/en/latest/
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